



Investor-Identification-Form

Please complete, sign and return to

Howaldt & Co. InvAG TGV

Deichstraße 19
20459 Hamburg

OR

subscriptions@howaldt.com

Dear Sir or Madam,

Thank you for your interest in a potential co-investment with us.

It is required by law to request and review a range of details before accepting new investors. In order to facilitate a co-investment we would, therefore, like to ask you to first take note of the following pages, fill them in accordingly and send them back to us.

Your signature under this investor identification form does not constitute an investment commitment. In this respect, filling out the form is not binding for you nor for us.

After reviewing the details provided we will get in touch with you shortly.

Best regards,

Your Howaldt & Co. team

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Information according to § 1 Abs. 19 Nr. 32, and 33 a) cc) Kapitalanlagegesetzbuch

The alternative investment fund manager ("AIFM") needs to assess the competence, experience and knowledge of those investors that it wants to rate as professional or semiprofessional within the meaning of § 1 Abs. 19 Nr. 32, and 33 a) Kapitalanlagegesetzbuch (German investment law). The AIFM needs to be sufficiently convinced that the investor is able to take his or her investment decisions independently, understands the risks involved with such investments and that a commitment for a particular investment is adequate for the investor under consideration.

Personal data

Unit/account holder:	Civil status:	Number of dependent persons:
Address: Other residences / usual places of residence:	Occupation/Position:	Education:
Tel. / email:	<input type="checkbox"/> self-employed <input type="checkbox"/> employed	Tax identification number and relevant inland revenue office:
Date and place of birth:	Professional experience in the investment industry:	
Would an investment be for your own account or for someone else's account?	If for someone else, who would be the beneficial owner of an investment?	
Are you a politically exposed person (PEP) or related to a PEP or a person known to be closely related?		

Investment activities to date:						
Which types of investments in securities have been made by you so far and how much experience do you have with these types of investments?						
	Domestic securities investments			International securities investments		
	No experience	Between 1 and 5 years experience	More than 5 years experience	No experience	Between 1 and 5 years experience	More than 5 years experience
<input type="checkbox"/> Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Equities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Investment funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Foreign currency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Options	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Futures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Size of individual transactions:	<input type="checkbox"/> < 10,000 €	<input type="checkbox"/> < 25,000 €	<input type="checkbox"/> < 50,000€	<input type="checkbox"/> > 50,000€		
Number of securities transactions p.a.:	<input type="checkbox"/> 0	<input type="checkbox"/> 1 to 5	<input type="checkbox"/> 5 bto 39	<input type="checkbox"/> 40+		
Were securities holdings debt financed?	<input type="checkbox"/> no		<input type="checkbox"/> yes	Credit line (€):		
Other investment types:	<input type="checkbox"/> Insurance pol.	<input type="checkbox"/> Building society policy	<input type="checkbox"/> Term deposit	<input type="checkbox"/> Other		
In the securities business the following knowledge base exists:	<input type="checkbox"/> no knowledge <input type="checkbox"/> basic knowledge <input type="checkbox"/> considerable knowledge <input type="checkbox"/> extensive knowledge					
Time horizon for your investment (years):	<input type="checkbox"/> 1		<input type="checkbox"/> 2-5		<input type="checkbox"/> >5	
Other reasons for or against being considered as a professional or semiprofessional investor:						

Information in relation to the financial circumstances

How high are your monthly earnings after tax:		What is the amount available to invest on an annual basis:	
<input type="checkbox"/> < 2,500 €	<input type="checkbox"/> 5,000 bis 10,000 €	<input type="checkbox"/> < 200,000 €	<input type="checkbox"/> 1 to 5 million €
<input type="checkbox"/> 2,500 to 5,000 €	<input type="checkbox"/> > 10,000 €	<input type="checkbox"/> 200,000 bis 1 million €	<input type="checkbox"/> > 5 million €
What are your net assets (assets minus liabilities):		How much of that held in securities:	
<input type="checkbox"/> < 200,000 €	<input type="checkbox"/> 1 - 5 million €	<input type="checkbox"/> < 200,000 €	<input type="checkbox"/> 500,000 - 1 million €
<input type="checkbox"/> 200,000 to 1 million €	<input type="checkbox"/> > 5 million €	<input type="checkbox"/> 200,000 to 500,000 €	<input type="checkbox"/> > 1 million €
Other assets:			
Real Estate: <input type="checkbox"/> < 1 million € <input type="checkbox"/> > 1 Mio. €		Other approx.:	
Will you require now or in the future regular cash income from your intended investment in TGV "Wertanlagen"?			
<input type="checkbox"/> no <input type="checkbox"/> yes		Amount in € p.a.:	

Investment objectives

<input type="checkbox"/> no	<input type="checkbox"/> yes	<input type="checkbox"/> Long-term purchasing power maintenance	<input type="checkbox"/> Other
		<input type="checkbox"/> Long-term wealth generation	
		<input type="checkbox"/> Avoidance of short-term volatility	
		<input type="checkbox"/> Diversification	
		<input type="checkbox"/> Short term profit maximisation	

Risk category <input type="checkbox"/> 1	Risk category <input type="checkbox"/> 2	Risk category <input type="checkbox"/> 3	Risk category <input type="checkbox"/> 4	Risk category <input type="checkbox"/> 5	Risk category <input type="checkbox"/> 6
"Maintaining wealth in nominal terms with minimal volatility"	"Wealth preservation in real terms with low volatility"	"Modest wealth generation in real terms with moderate volatility in base currency terms"	"Moderate level of wealth generation in real terms while accepting temporary volatility"	"Substantial wealth generation in real terms while accepting substantial volatility and risk of a partial loss"	"Very high expectations of wealth generation and high risk tolerance, while accepting the possibility of a partial or total loss of the capital deployed"
e.g.:	e.g.:	e.g.:	e.g.:	e.g.:	e.g.:
Short duration Euro denominated bonds with top ratings, short duration fixed income funds and money market funds	Bonds and equities issued by large companies in domestic market	International equities and bonds of good quality, including if denominated in foreign currency	International equities, bonds and other securities	International equities, bonds and other securities; use of moderate leverage and exploitation of special situations	Speculation (rather than investment) in equities and bonds, futures and leveraged investments with unlimited loss potential

* The signature below indicates your acceptance of Howaldt & Co.'s data protection policy; see <https://en.howaldt.com/impressum?lang=de>

Location, date

Signature*